APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/690,783	10/21/2003	David J. Monnie	KLR/KAR:8474.0005	5629

152   7590   05/02/2007
CHERNOFF, VILHAUER, MCCLUNG & STENZEL
1600 ODS TOWER
601 SW SECOND AVENUE
PORTLAND, OR 97204-3157

EXAMINER
SEYE, ABDOU K

ART UNIT	PAPER NUMBER
2194	

MAIL DATE	DELIVERY MODE
05/02/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
Office Action Summary	10/690,783	MONNIE ET AL.
	Examiner	Art Unit	
	Abdou Karim Seye	2194	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>03</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☐ Responsive to communication(s) filed on <u>23 February 2007</u>.

2a)☒ This action is **FINAL**.   2b)☐ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) *1-36* is/are pending in the application.

    4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) *1-36* is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☒ The drawing(s) filed on <u>21 October 2003</u> is/are: a)☒ accepted or b)☐ objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

    a)☐ All   b)☐ Some * c)☐ None of:

      1.☐ Certified copies of the priority documents have been received.

      2.☐ Certified copies of the priority documents have been received in Application No. _____.

      3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

    * See the attached detailed Office action for a list of the certified copies not received.

WILLIAM THOMSON
SUPERVISORY PATENT EXAMINER

Attachment(s)

1)☒ Notice of References Cited (PTO-892)

2)☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3)☐ Information Disclosure Statement(s) (PTO/SB/08)
    Paper No(s)/Mail Date _____.

4)☐ Interview Summary (PTO-413)
    Paper No(s)/Mail Date. _____.

5)☐ Notice of Informal Patent Application

6)☐ Other: _____.

DETAILED ACTION

Response to Amendment

1. The amendment filed on February 23, 2007 has been received and entered. The amendment amended Claims1, 3, 13,15, 25 and 27 and withdraw claims 37-48. The currently pending claims considered below are Claims 1-36.

Claim Rejections - 35 USC § 112

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

> The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter that the applicant regards as his invention.

Claims 2-4, 8, 14-16, 20, 26-28 and 32 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention because,

claims 2,8,14, 20, 26 and 32 contain the trademark/trade name "Java" and "Sun Microsystems". Where a trademark or trade name is used in a claim as a limitation to identify or describe a particular material or product, the claim does not comply with the requirements of 35 U.S.C. 112, second paragraph *(see Ex parte Simpson*, 218 USPQ 1020; Bd. App. 1982). The claim scope is uncertain since the trademark or trade name cannot be used properly to identify any particular material or product. A trademark or trade name is used to identify a source of goods, and not the goods themselves. Thus, a trademark or trade name does not

identify or describe the goods associated with the trademark or trade name. In the

present case, the trademark/trade names are used to

identify/describe a family of products generated in the proprietary programming

language called "Java" and brand name "Sun Microsystems",accordingly, the

identifications/descriptions are indefinite. Therefore depend claims 3-4, 15-16 and

27-28 are also affected by the same rejection.

Appropriate corrections are required.

Claim Rejections - 35 USC § 103

3.   The following is a quotation of 35 U.S.C. 103 (a) which forms the basis for all

obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as
> set forth in section 102 of this title, if the differences between the subject matter sought to be
> patented and the prior art are such that the subject matter as a whole would have been obvious
> at the time the invention was made to a person having ordinary skill in the art to which said
> subject matter pertains. Patentability shall not be negatived by the manner in which the invention
> was made.

4.   Claims 1-36 are rejected under 35 U.S.C. 103 (a) as being unpatentable over

McGuire et al (20030097360) in view of Li et al. (US 7143392).

Claims 1, 13 and 25: MaGuire discloses a system for the concurrent operation of plural

computer applications, each said computer application operating in its own virtual

machine, said system comprising:

(a) A shared object space selectively connectable to each said plural computer application, said shared object space capable of storing at least one object accessible to and updateable (abstract; paragraph 30; updating data) by each of said plural computer applications when connected to said shared object space (Abstract; fig. 2, paragraph 53 and 54); and

(b) A processing unit operably connected to a display (fig. 1 paragraph 50 and 51).

(c) A monitor associated with said shared object space capable of collecting data pertaining to said shared object space and sending said data to said processing unit (fig. 7: 791; system monitor of data object; paragraph 99).

But he does not explicitly disclose:

said processing unit capable of processing said data into statistical information pertaining to the operation of said shared object space for graphical representation on said display; and

said shared monitor space storing references to objects having time varying data pertaining to the operation of said shared object space, where said time varying data referred to in said shared monitor space may be selectively sampled at a desired frequency.

However, in the same field of endeavor, Li discloses a computer system monitoring and analysis data that collect, process statistical information and use and advanced graphical visualization techniques to visualize the system behavior on a display (col. 14, lines 45-67, col. 15, lines 1-67, col. 16, lines 1-67) and further discloses monitoring the timing latency, frequencies, CPU consumption time, and the display on how the

timing latency is distributed along a selected path (col. 13, lines 58-67, col. 14, lines 1-45). It would be obvious to one having ordinary skill in the art at the time the invention was made to modify McGuire's invention with Li's invention to monitor the share object space while collecting data pertaining to the operation such as time, processing the data into statistical information for graphical representation display. One would have been motivated to include a resource monitoring component as one module that could be updated by McGuire's system in view of the fact that monitoring the resources usage of the system can not only reduce the amount of logged data and logged scenarios where the causality relationship does not need to fully captured but also provide an efficient management of time consumption by particular threads or applications consuming excessive time and resources within a shared space environment.

Claims 2,14 and 26: McGuire further discloses a Java virtual machine (fig. 1/40).

Claims 3, 15 and 27: McGuire discloses a system as in claims 2, 14 and 26 above and further discloses a Native Method Interface (fig. 1, paragraph 50). The elements "Native OS" and "Java VM " of McGuire reference meets the claimed limitation of these claims.

Claims 4, 16 and 28: McGuire discloses a system as in claims 3, 15 and 27 above and further discloses a default directory with a native language library file (fig. 2 paragraph

54 and 60). The elements "native code" and "JIT code " within a Java VM of <u>McGuire</u>

reference meet the claimed limitation of these claims.

Claims 5, 17 and 29: <u>McGuire</u> discloses a system as in claims 1, 13 and 25 above and

further discloses a non-object-oriented application (paragraph 54). The element "C" of

<u>McGuire</u> reference meet the claimed limitation of these claims.

Claims 6, 18 and 30: McGuire further discloses a non-object oriented program such as

"C" (paragraph 54).

Claims 7, 19, and 31: <u>McGuire</u> discloses a system as in claims 1, 13 and 25 above and

further discloses that the access to said at least one object by said plural computer

applications is synchronized (paragraph 76).

Claims 8, 20 and 32: <u>McGuire</u> discloses a system as in claims 1, 13 and 25 above and

further discloses that said shared object space is operably connectable to a Sun

Microsystems virtual machine; Java is a trademark of Sun Microsystems Inc., therefore

the element "java" of the <u>MCGuire's</u> reference meet the claimed limitation.

Claims 9, 21 and 33: <u>McGuire</u> discloses a system as in claims 1, 13 and 25 above.

But <u>McGuire</u> does not disclose that the said plural computer applications pertain to a

communication processing or Internet services. However, in the same field of

endeavor, Li discloses a communication processing and a computer network such as the internet (fig. 12, col. 28, lines 15-25; col. 28, lines 35-46). It would be obvious to one having ordinary skill in the art at the time the invention was made to modify McGuire's invention with Li's invention to collect data pertaining to the operation for internet services. . One would have been motivated to include the function of monitoring the Internet services component as one element that could be updated by McGuire's system in view of the fact that the behavior of multi-threaded software applications running in a multi-processor environment such as the Internet can be. Therefore a user/administrator of a system may quickly and easily view multiple pieces of information in order to get a complete picture of the runtime behavior of a software component.

Claims 10, 22 and 34: McGuire discloses a system as in claims 1, 13 and 25 above and further discloses a global name space in said shared object space (fig. 5/560).

Claims 11, 23 and 35: McGuire discloses a system as in claims 1, 13 and 25 above and further discloses that the said at least one object is copy shared among said plural applications (paragraph 94).

Claims 12, 24 and 36: McGuire discloses a system as in claims 1, 13 and 25 above and further discloses that the said at least one object is direct shared among said plural applications (paragraph 46). The element "shared objects cannot be changed by an

application after they have been loaded" of <u>McGuire</u>'s reference means that, objects

are directly shared among application before they are loaded. Therefore the claimed

limitation is meet.

Response to Arguments

5.   Applicant's arguments filed February 23, 2007 have been fully considered but they are

not persuasive.

a. Claims 2,8,14, 20, 26 and 32 , applicant's affidavits do not vicariate the issue

regarding the "Java Virtual machine" and "Sun Microsystems" products. It's indefinite as to

which version of these products the applicant is referring. Therefore these claims are

indefinitely presented by the applicant.

b. Claim 1: Applicant argues that "<u>McGuire</u> does not show a monitor ". The examiner

disagrees , since <u>McGuire</u> clearly discloses in (fig. 7: 791; system monitor and data object;

paragraph 99). These elements of <u>McGuire's</u> reference meet the claimed limitation of the

claim. Also applicant appears to confuse the rejection with <u>Li</u> reference. Since the rejection is

based on U.S.C 103, <u>Li</u> need only make up for the deficiency in <u>McGuire</u>.

c. Claim 25: Applicant argues that "<u>McGuire</u> does not teach updating shared

object space ". <u>McGuire</u> teaches "updating data associated with shared object space in

the (abstract; and paragraph 30).

Applicant also argues that " registry " is not suggested.The examiner disagree, since <u>McGuire</u> suggests in (fig. 7) the "MI table " .

These above elements of <u>McGuire's</u> reference meet the claimed limitations of the claim.

d. As for the remaining claims, see response to applicant's arguments/rejections above.

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to the applicant's disclosure.

<u>IBM Techinial disclosure Bulletin,</u> February 1, 1992, US (19920201), page Number 184-185, volume number: 34, Issue Number: 9 "Method for display of resource Utilization in windows within one parent Window".

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to

37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the date of

this final action.

AKS
April 24, 2007

WILLIAM THOMSON
SUPERVISORY PATENT EXAMINER